IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/628,475 Confirmation No.:

Patent No. 6,8

6,890,475

First Named

: Joseph D. ARTISS

Inventor

Filed

07/29/2003

TC/A.U.

Examiner Docket No.

103022.58743US

Customer No.

23911

Title

: Compositions Comprising Dietary Fat Complexers and

Methods for Their Use

REVIEW OF FAILURE TO RECORD PAYMENT OF A MAINTENANCE FEE FILED PRIOR TO EXPIRATION OF PATENT

Mail Stop Petition

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

Patentees request a review of the U.S. Patent and Trademark Office's failure to record Patentees' payment of the maintenance fee that was filed prior to expiration of the above-identified patent and a review of the Application Data records that indicate that the Patent is expired for nonpayment of the maintenance fee. Patentees further request that the Patent's Transaction History and Application Data records be corrected to indicate that the Patent is still in force.

On July 15, 2009 Patentees' undersigned representative became aware that the Transaction History of the above-identified Patent recites an entry of "Patent Expired" dated June 8, 2009 and the "Application Data" in PAIR for this Patent states "Patent Expired Due to NonPayment of Maintenance Fees Under 37 CFR 1.362" (copies of screenshots enclosed). The electronic File Wrapper for this Patent does not indicate that a Notice of Patent Expiration has been mailed.

After a review of the file, the documents submitted to the U.S. Patent and Trademark Office, the Deposit Account records and Credit Card Account records,

Patentees' undersigned representative determined that the maintenance fee had been submitted and acknowledged by the U.S. Patent and Trademark Office, but the maintenance fee had not been charged to either the Credit Card Account or Deposit Account.

Patentees enclose a copy of the Maintenance Fee Transmittal Form and the Credit Card Payment form submitted November 6, 2008. The Maintenance Transmittal form authorized the Director to charge any deficiencies in the payment of the required fees to Deposit Account No. 05-1323 (Docket No. 103022.58743US). Patentees also enclose a copy of the date stamped postcard receipt for the Maintenance Fee payment indicating the Maintenance Fee Statement and Credit Card Payment form were received by the U.S. Patent and Trademark Office on November 6, 2008. Thus, Patentees did properly submit a Maintenance Fee payment with a Credit Card Payment form for \$980.00.

Patentees request a review of the U.S. Patent and Trademark Office's failure to record Patentees' payment of the maintenance fee filed prior to expiration of patent and the notices in the Transaction History and a review of the Application Data records that indicate that the Patent is expired for nonpayment of the maintenance fee. Patentees request that the Transaction History and Application Data records be corrected to indicate that the Patent is still in force.

Patentees submit herewith a further maintenance fee payment of \$980.00 and fee \$200.00 as set forth in § 1.17(g). Patentees request that the petition fee be refunded if the failure to record Patentees' payment of the maintenance fee is determined to result from an error by the Patent and Trademark Office.

The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, associated with this paper to Deposit Account No. 05-1323, Docket No.: 103022.58743US.

Respectfully submitted,

July 20, 2009

Mary Anne Schofield Registration No. 36,669

CROWELL & MORING LLP Intellectual Property Group P.O. Box 14300 Washington, DC 20044-4300

Telephone No.: (202) 624-2500 Facsimile No.: (202) 628-8844

Crowell & Moring LLP

Today's Date: November 6, 2008

103022.58743US

Attorney Docket: First Named Inventor:

Joseph D. ARTISS 10/628,475

Gerial No.: Patent No.:

6,890,549

Filing Date:

July 29, 2003

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

Maintenance Fee Transmittal with Credit Card Payment Form (PTO-2038) \$980.00

MAS:MAS:pcf



DUE DATE: November 10, 2008

PTO/SB/45 (09-04)
Approved for use through 06/30/2006. OMB 0651-0016
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

MAINTENIANCE FEET TO ANOMETE 1. FORTH

				E FEE TRANSM				
P.O. Bo	s to: tent and Trad ox 371611 rgh, PA 15250	lemark Office w "	ith sufficient posta Jnited States Pat	t this correspondence age as first class mail ent and Trademark O	in an envelope a	addressed to:		
Custon	ner No. 23911	S	gnature				•	
1	ey Docket: .58743US	T	ped or printed na	ame			•	•
Enclose	ed herewith is t	he payment of the	maintenance fee	(s) for the listed pater	nt(s).			
1. 🗆	A check for the patents is en		for the full payme	nt of the maintenance	e fee(s) and any	necessary surchar	ge on the follow	ving
2. 🗌				to cover the payment icate copy of this fo			eposit Account	No.
3. 🖂		•		deficiency in the payn 22.58743US). A dupl	•	` '		ent to
4. 🛛	Payment by	credit card. Form F	TO-2038 is attacl	hed.				
* Informa	ation required by	37 CFR 1.366(c) (cc	lumns 1 & 4). Inforr	mation requested under	37 CFR 1.366(d) (columns 2, 3, & 5)		
Item	Patent Number*	Maintenance Fee Amount (37 CFR 1.20 (e)-(g))	Surcharge Amount (37 CFR 1.20 (h)-(i))	U.S. Application Number* [e.g., 06/555,555]		Payment Year Column 5		Small Entitity
	Column 1	Column 2	Column 3	Column 4	3.5 yrs	7.5 yrs	11.5 yrs	
1	6,890,549	\$980.00	\$	10/628,475	х			No
2		\$	\$					
3		\$	\$					
4		\$	\$					
5		\$	\$					
Subtotals	: Columns 2 & 3	\$	\$		1			•
	Total Payment	\$980	.00	☐ additional sheets a	attached for listing ad	ditional patents.		
				ay become public. (card information ar				
Custom	etfully submitted, ner's Signature: ner's Name:	May ax	ne School hofield - Crowel	uld II & Moring LLP		nber 6, 2008 mber, if applicable	36,669	
Telepho		(202) 624-250		<u> </u>	Fax: (202) 6	28-8844	•	
	II correspondence ee 37 CFR 1.36		o the "Fee Address	" or to the "Corresponde	ence Address" if no	"Fee Address" has t	peen provided.	
				us still exists, see 37 C ed. See 37 CFR 1.27 ar		tablish small entity	status or to cha	ange
		CE FEE PAYMENTS THORIZED USER AI		E BY AUTHORIZATION	TO CHARGE A D	EPOSIT ACCOUNT,	BOTH THE NAM	ME AND
This colle	ction of informations) an application.	on is required by 37 Cl Confidentiality is gove	R 1.366. The inform rned by 35 U.S.C. 12	nation is required to obtain 22 and 37 CFR 1.11 and	1.14. This collection	is estimated to take 0	.8 minutes to com	

including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA. 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: United States Patent and Trademark Office, P.O. Box 371611, Pittsburgh, PA 15250-1611.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO-2038 (02-2003) Approved for use through 02/28/2006. OMB 0651-0043 $\,$

United States Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

United States Patent and Trademark Office Credit Card Payment Form Please Read Instructions before Completing this Form

	Credit Card In	formation	
Credit Card Type: 🗌 Visa 📗	Mastercard 🗹 American Ex	opress Discover	
Credit Card Account #: 3787 3	99300 11009		
Credit Expiration Date: 10/10			
Name as it Appears on Credit C	Card: Phillip Felton USPTO		
Payment Amount: \$ (US Dollar	s): \$980.00		
Cardholder Signature: + Ri	lip Fettim	Date: November 6, 20	008
Refund Policy: The office may refund payment of a fee will not entitle a payment is specifically requested, and we card will be issued as a credit to the office Charge: There is a \$50.00 sor charged back by the financial institution.	ty to a refund of such fee. The control of such for the payor of such for a control of the contr	office will not refund amounts of \$2 amounts (37 CFR 1.26). Refund of fee was charged.	25.00 or less unless a a fee paid by credit
C	redit Card Billi	ng Address	
Street Address 1: Crowell & Mo	oring LLP		
Street Address 2: 1001 Pennsy	vlvania Avenue, N.W.		
City: Washington			
State/Province: District of Colu	ımbia	Zip/Postal Code: 20004-25	95
Country: United States			
Daytime Phone #: 202-624-25	00	Fax #: 202-628-5116	
		nt Information	
Description of Request and Payment I	Information (160 characters ma	x):	
Maintenance Fee (\$980.00)			
Patent Fee	Patent Maintenance Fee	Trademark Fee	Other Fee
Application No.	Application No. 10/628,475	Application No.	IDON Customer No.
Patent No.	Patent No. 6,890,549	Registration No.	
Attorney Docket No. 103022.58743US		Identify or Describe Mark].

If the cardholder includes a credit card number on any form or document other than the credit Card Payment Form, the United States Patent and Trademark Office will not be liable in the event the credit card number becomes public knowledge.

United States Patent and Trademark Office

Home | Site Index | Search | FAQ | Glossary | Guides | Contacts | eBusiness | eBiz Alerts | News | Help

Sign-Off Authenticated Session

Other

Trademarks

Patents

Portal Home

				J
世 <u>Electronic Filing</u> 王 Patent Application Information	XML Download	Order Certi	Order Certified Application As Filed Order Ce	Order Certified File Wrapper
(PAIR)	10/628,475 C	COMPOSITIONS COMPRISING DIETARY FAT	COMPRISING DIETARY FAT COMPLEXER AND METHODS FOR THEIR USE	THEIR USE
 □ Patent Ownersnip ⊞ Fees ⊞ Supplemental Resources & Support 	Select Application New Case Data	Application Transaction Image File Patent Term Continuity Data History Wrapper Adjustments Data	y Fees Published Address & Display Documents Attorney/Agent References	
	Bibliographic Data			
Patent Information	Application Number:	10/628,475	Customer Number:	ı
Patent Guidance and General Info E Codes, Rules & Manuals F Employee & Office Disorboiles	Filing or 371 (c) Date:	07-29-2003	Status:	Patent Expired Due to NonPayment of Maintenance Fees Under 37 CFR 1.362
Resources & Public Notices	Application Type:	Utility	Status Date:	06-08-2009
Patent Searches	Examiner Name:	FUBARA, BLESSING M	Location: 🕒	ELECTRONIC
Patent Official Gazette	Group Art Unit:	1615	Location Date:	1
E Search Patents & Applications	Confirmation Number:	4686	Earliest Publication No:	US 2004-0120984 A1
Search Biological Sequences	Attorney Docket Number: AJC 201.1 US/	AJC 201.1 US/10304772	Earliest Publication Date:	06-24-2004
La Capies, Products & Services	Class / Subclass:	424/439	Patent Number:	6,890,549
Other	First Named Inventor:	Joseph D. Artiss , Windsor, (CA)	Issue Date of Patent:	05-10-2005
Copyrights Trademarks Policy & Law Reports	Title of Invention:	COMPOSITIONS COMPRISING DIE	COMPOSITIONS COMPRISING DIETARY FAT COMPLEXER AND METHODS FOR THEIR USE	S FOR THEIR USE

- Call the Patent Electronic Business Center at (866) 217-9197 (toll free) or e-mail EBC@uspto.gov for specific questions about Patent Application Information Retrieval (PAIR).
- Send general questions about USPTO programs to the <u>USPTO Contact Center (UCC)</u>.
 If you experience technical difficulties or problems with this application, please report them via e-mail to <u>Electronic Business Support</u> or call 1 800-786-9199.

You can suggest USPTO webpages or material you would like featured on this section by E-mail to the <u>webmaster@uspto.gov</u>. While we cannot promise to accommodate all requests, your suggestions will be considered and may lead to other improvements on the website.

United States Patent and Trademark Office

Home | Site Index | Search | FAQ | Glossary | Guides | Contacts | eBusiness | eBiz Alerts | News | Help

Sign-Off Authenticated Session Trademarks Other Patents Portal Home Secured Patent Application Information Retrieval **-** 🗆 Patent eBusiness Order Certified Application As Filed Order Certified File Wrapper View Order List Electronic Filing XML Download COMPOSITIONS COMPRISING DIETARY FAT COMPLEXER AND METHODS FOR THEIR Patent Application Information (PAIR) 10/628,475 HSF # Patent Ownership Published Address & Display Documents Attorney/Agent References Patent Term Continuity Fees + Fees lmage File Wrapper Select Adjustments Data New Case **Supplemental Resources & Support** Transaction History Patent Information Transaction Description Patent Guidance and General Info 06-08-2009 Expire Patent Codes, Rules & Manuals Recordation of Patent Grant Mailed 05-10-2005 + Employee & Office Directories Issue Notification Mailed Resources & Public Notices 04-20-2005 Patent Issue Date Used in PTA Calculation 05-10-2005 **Patent Searches** Receipt into Pubs 04-07-2005 Patent Official Gazette Dispatch to FDC 04-06-2005 Search Patents & Applications Application Is Considered Ready for Issue 04-06-2005 **Search Biological Sequences** Issue Fee Payment Verified 01-26-2005 Copies, Products & Services 12-27-2004 Miscellaneous Incoming Letter Other Response to Reasons for Allowance 01-05-2005 Copyrights Receipt into Pubs 03-30-2005 Trademarks Policy & Law Issue Fee Payment Received 01-26-2005 Reports Workflow - File Sent to Contractor 12-29-2004 Workflow incoming amendment IFW 12-27-2004 Mail Notice of Allowance 12-13-2004 Notice of Allowance Data Verification Completed 12-10-2004 IFW TSS Processing by Tech Center Complete 12-06-2004 Preliminary Amendment 12-22-2003 New or Additional Drawing Filed 10-28-2004 Date Forwarded to Examiner 12-06-2004 Response after Non-Final Action 10-28-2004 Reference capture on IDS 09-02-2004 Workflow incoming amendment IFW 10-28-2004 Examiner Interview Summary Record (PTOL - 413) 10-22-2004 Mail Non-Final Rejection 09-02-2004 Non-Final Rejection 08-27-2004 Information Disclosure Statement (IDS) Filed 06-10-2004 Information Disclosure Statement (IDS) Filed 06-10-2004 Mail-Record Petition Decision of Granted to Make Special 07-12-2004 Petition Entered 12-24-2003 Case Docketed to Examiner in GAU 07-01-2004 Application Return from OIPE 11-15-2003

If you need help:

10-30-2003

10-30-2003 10-30-2003

10-14-2003

09-08-2003

07-29-2003

 Call the Patent Electronic Business Center at (866) 217-9197 (toll free) or e-mail <u>EBC@uspto.gov</u> for specific questions about Patent Application Information Retrieval (PAIR).

Send general questions about USPTO programs to the <u>USPTO Contact Center (UCC)</u>.

If you experience technical difficulties or problems with this application, please report them via e-mail to Electronic Business Support or call 1 800-786-9199.

You can suggest USPTO webpages or material you would like featured on this section by E-mail to the webmaster@uspto.gov. While we cannot promise to accommodate all requests, you suggestions will be considered and may lead to other improvements on the website.

Application Return TO OIPE

Application Is Now Complete Cleared by OIPE CSR

Initial Exam Team nn

Application Dispatched from OIPE

IFW Scan & PACR Auto Security Review